

# UTILITY PATENT APPLICATION TRANSMITTAL

☐ DUPLICATE

Address to: <b>Box PATENT APPLICATION</b> Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket No.	CHAN3228/EM	17548 U.S. PTO 10/699839
	First Named Inventor (or identifier)	Edward Y. CHANG	
	Total Pages	74	

Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled:	Growth of GaAs Epitaxial Layers on Si Substrate By Using A Novel GeSi Buffer Layer
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- ☒ 1. Submitted herewith are the following:
- 13 pages of specification, including claims and Abstract.
  - 3 sheets of FORMAL drawings (Figs. 1-6).
  - 26 claims.
  - 1 Oath/Declaration signed by each inventor.
  - 1 Application Data Sheet.
  - 1 Assignment of the invention to National Chiao-Tung University, Hsinchu City, Taiwan, R.O.C., Cover Sheet, and payment of the \$40 recordal fee.
  - 1 certified copy of Taiwan application no. 092120501. Priority is claimed.
  - 1 certified copy of Taiwan application no. 092120502. Priority is claimed.
  - 1 check in the amount of \$624 (\$385- Filing Fee; \$54- Extra Dependent Claim Fee; \$145- Multiple Dependent Claim Fee; \$40- Assignment Recordation Fee).
- ☒ 2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: - - This application claims the benefit of provisional application number \_\_\_\_\_ filed \_\_\_\_\_. - -
- ☐ 5. Insert before the first sentence of the specification: - - This application is a Continuation-in-part of nonprovisional application number \_\_\_\_\_ filed \_\_\_\_\_. - -
- ☐ 6. Other: \_\_\_\_\_

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$770.00
Total Claims:	26	- 20 =	6.00	X \$18 =	\$108.00
Independent Claims:	3	- 3 =	0.00	X \$86 =	\$0.00
Correspondence Address: <b>BACON &amp; THOMAS, PLLC</b> 625 Slaters Lane, 4 <sup>th</sup> Floor Alexandria, VA 22314-1176			<b>23364</b>	Multiple Dependent Claim (add \$290.00): \$290.00	
			CUSTOMER NUMBER	Subtotal: \$1,168.00	
			50% Reduction if Small Entity Status: \$584.00		
Phone: 703-683-0500			Fax: 703-683-1080		Total: \$584.00
Date:	Name:		Signature:		Reg. No.
November 4, 2003	Eugene Mar				25,893

